

UNDP EEG and GEF
Annual Performance Report (APR)
Project Implementation Review (PIR)
2008 –
ENABLING ACTIVITIES FOR NATIONAL COMMUNICATIONS

Reporting Period = 1 July 2007 to 30 June 2008

PLEASE COMPLETE ALL SECTIONS

1. Basic Project Data

Official Project Title:

The Philippines: Enabling Activities for the Preparation of the National Communication on Climate Change to the UNFCCC

Project Summary (as in PIMS and Project Document)

In brief, the purposes of this project are to assist the Philippines with the enabling activities necessary to undertake an improved national greenhouse gas (GHG) inventory, to plan for actions for the mitigation of climate change and adaptation to its potential impacts of climate change, and to prepare the country's Second National Communication (SNC) to the Conference of the Parties (COP) of the UN Framework Convention on Climate Change (UNFCCC). The *main components* of the SNC formulation project are: (a) evaluation of national circumstances; (b) updating of the inventory of GHGs for the year 2000; (c) assessment of needs, barriers and opportunities for both adaptation and mitigation technologies and methodologies and to build capabilities to be able to perform such activities; (d) assessment of potential impacts of climate change in selected areas of the Philippines and prioritization of adaptation measures; and, (e) preparation of the Second National Communication of the Philippines and submission to the COP. In addition, public awareness activities and stakeholder consultations will be cross-cutting along the overall course of this exercise therefore, the preparation of the Second National Communication is expected to enhance general awareness and knowledge on climate change-related issues in the Philippines, and help highly to take them into account in the process of national planning and policy formulation.

Country	PIMS Number	
The Republic of the Philippines	Atlas Project Number	

Project timeframe:

Date of Delegation of Authority Letter		Planned Project Duration	36 months
Project Document Signature Date		Original Planned Closing Date	2009
Date of First Disbursement		Revised Planned ¹ Closing Date	2010
Is this the Terminal APR/PIR?	YES	NO	✓
		Date Operationally Closed (if applicable)	

¹ Please explain any entry here in section 8

Project documentation and information:

List documents/ reports/ prepared about the project.	Project Document, AWP Monitoring Tools, Narrative Reports, Inception Report, Terms of Reference for implementation of Project Components, Memoranda of Agreement
List the Website address (URL) of project.	Updates will be provided through the EMB website at www.emb.gov.ph

2. Progress towards addressing project priorities and in delivering expected products

Please complete Annex 1: Questionnaire on Status of Implementation of National Communication Project. **The questionnaire starts in Page 4 of this document.**

Rating of Project Progress

Please rate the project progress as per the following nomenclature: Highly Satisfactory (HS), Satisfactory (S), Marginally Satisfactory (MS), Marginally Unsatisfactory (MU), Unsatisfactory (U), or Highly Unsatisfactory (HU). An explanation of this nomenclature is provided on page 8 of this document.

	<i>2008 Rating</i>	<i>Comments²</i>
National Project Manager/Coordinator	(Please copy here rating provided by the NC project coordinator in the questionnaire attached) MS	(Please copy here any comments provided by the project coordinator in the questionnaire attached) There were substantial delays in the start-up phase; however, with the major components already being initiated, the project is looking forward to a stable execution of the work programme within the given limitations, barring any extraordinary circumstances.
UNDP Country Office		
UNDP Regional Technical Advisor		

Action Plan to Address Marginally Unsatisfactory, Unsatisfactory or Highly Unsatisfactory Rating

Where a rating of MU, U or HU is noted above describe the actions to be taken to address this:

<i>Action to be Taken</i>	<i>By Whom?</i>	<i>By When?</i>

² Comment on the rating for 2008 and also on any observable trends from 2006 – 2008.

3. Adjustments to Project Time Frame

If the duration of the project or the project work schedule has been adjusted since project approval please explain the changes and the reasons for these changes.

<i>Change</i>	<i>Reason for Change</i>	<i>Scope of delay (in months)</i>
Signing of the Project Document	Document revision per the comments made by the UNDP-GEF; passing through several high-level tiers of clearance and endorsements before the final signing of the document	November 2005 to August 2006 (9 months)
Hiring of the Project Management Office personnel	Delay in the signing of the Letter of Agreement (LOA) between UNDP and the DENR-EMB covering the general provision of Country Office Support Service (COSS), through their Development Support Service Center (DSSC), and in the submission of other required documents such as the Detailed Work and Financial Plan for the project and the Secretary's Certification listing down the Authorized Project Signatories, their designations in relation to the project implementation and the corresponding specimen signatories for the authorizing/approving officers to issue reports, request for direct payments and advances. By March 2007, the hiring process commenced and contract signing of personnel were undertaken in July and August 2007.	From August 28, 2006 to March 2007 – seven (7) months
Subcontracting and preparations for the Inception Workshop	Changes in the upper level administration resulting in the installation of a new Project Director; re-orientation of senior officials; re-issuance of certification for authorizing/approving officers to issue reports, request for direct payments and advances; new set of requirements for project implementation; request for budget revision; ensuring the engagement of the very few national inventory and vulnerability and adaptation experts; resignation and subsequent hiring of new PMO Technical Assistant.	July 2007 - May 2008

3. Financial Information: cumulative since project started to 30 June 2008.

<i>Name of Contributor</i>	<i>Amount committed in Project Document³ US\$</i>	<i>Estimated Total Disbursement to 30 June 2008 US\$</i>	<i>Expected Total Disbursement by end of project US\$</i>
GEF Contribution	\$15,000 for the self-assessment + \$405,000 for the project		\$15,000 for the self-assessment + \$405,000 for the project

5. Good Practice in this reporting period.

Were any problems encountered? If so, how were they addressed?

<i>Problem</i>	<i>Solution</i>
The usual problem is the signing/approval of required documents where problems of bureaucratic practice cause delays in the approval thereby affecting the targeted time frame for the project's implementation	Re-orientation/re-briefing of top management due to changes in the administration, particularly the designated authorities to be involved in the project implementation, to ensure that they have a full understanding of the project as well as their roles; diligently follow-up on the signing/approval of documents in view of their busy schedules.
Participation of the nationally- and internationally-recognized Filipino inventory and vulnerability and adaptation experts	Securing the buy-in of these experts through the nurturing of partnerships and working through recognized channels such as the IACCC proved critical to their re-engagement in the national communication process through direct contracting, despite the minimal contract prices

General Comments:

Is there anything noteworthy/special/critical that was learned this year that is important to share with other projects so they can avoid this mistake/make use of this opportunity?

Maintenance of the Filipino multi-sector experts, who are also recognized internationally as lead reviewers and technical writers by the Inter-governmental Panel on Climate Change (IPCC) and the United Nations Framework Convention on Climate Change (UNFCCC), in the preparation of the Philippines' Second National Communication is crucial to the success of the Project and vital to the sustainability of the process.

What would you do differently if you were to begin the project again?

Ensure that the planning phase appropriately takes into account the required full costs for developing national communications, including the requisite technical support of the NCSP, in view of the dire lack of resources on the part of implementing partner.

³ Committed amounts are those shown in the approved Project Document. In the case of national communication enabling activities, the total amount would be \$420,000 in most cases (e.g. \$15,000 for the self-assessment + \$405,000 for the project)

QUESTIONNAIRE ON STATUS OF IMPLEMENTATION OF NATIONAL COMMUNICATION (NC)

We would be most grateful if you could please return the completed questionnaire 29 August 2008.

Please note that UNDP must report to GEF on the status of the NC projects twice a year⁴. Thus, as long as your NC project is under implementation, you will be asked to kindly complete this questionnaire in the second quarter (through this PIR) and the fourth quarter of each year (independent from the PIR).

I. Country:

Republic of the Philippines

II: Your details

Name	Role in NC project	Contact details
Ms. Joyceline A. Goco	NC Project Coordinator Yes ____ No <input checked="" type="checkbox"/> If you are not the NC Coordinator, please indicate your function in the project: The Project Manager	Institution: The Inter-Agency Committee on Climate Change (IACCC) Secretariat, Environmental Management Bureau of the Department of Environment and Natural Resources (EMB-DENR) Telephone number: (+632) 920.22.51; 928.46.74 Email: joy.goco@yahoo.com

⁴ The GEF is mandated to report to the Climate Change Convention during the annual meetings of the Subsidiary Bodies (May or June) and the Conference of the Parties (December).

III: Organizational aspect of the NC project

Project coordinator	Steering Committee	Thematic teams			
		GHG Inventory	V&A	Mitigation	Cross-cutting issues
Appointed? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Appointed? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Team leader appointed? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Team leader appointed? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Team leader appointed? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No * Combined GHG Inventory and Mitigation into one	Team leader appointed? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If yes, please provide contact details of the Coordinator: In lieu of a Project Coordinator, a Project Director and a Project Manager have been designated: Project Director: Engr. Julian D. Amador Project Manager: Ms. Joyceline A. Goco <i>(please see II above for contact details)</i>	If yes, please list the institutions represented by the Committee: Per the Project Document, the member-institutions of the IACCC act as the Project Steering Committee, namely: PAGASA-DOST, DOE, DPWH, DOTC, DA, PNCC, Earth Savers Movement,	If yes, please provide contact details of the team leader: Fr. Jose Ramon T. Villarin, S.J., Ph.D. Manila Observatory (MO) jvillarin@xu.edu.ph T (+632)426-5921 to 23 local 312 F (+632)426-6141	If yes, please provide contact details of the team leader: Dr. Rosa T. Perez Philippine Rural Reconstruction Movement, Inc. (PRRM) rtperez1@yahoo.com; rtperez.ph@gmail.com T (+632) 417.28.00 F (+632) 936.82.81	If yes, please provide contact details of the team leader: Fr. Jose Ramon T. Villarin, S.J., Ph.D. Manila Observatory (MO) jvillarin@xu.edu.ph T (+632)426-5921 to 23 local 312 F (+632)426-6141	If yes, please provide contact details of the team leader: * No planned Thematic team leader for cross-cutting issues; Project Document identified only 3 thematic areas: V&A, GHGI and Mitigation. Since GHGI and Mitigation were combined into one, the 3 rd component is the public awareness. These 3 components will address cross-cutting issues, which will be synthesized by the integrator-writer of the SNC document.
If no, when to expect?	If no, when to expect?	If no, when to expect?	If no, when to expect?	If no, when to expect?	If no, when to expect?

IV: Implementation of key NC components

GHG Inventory	V&A Assessment	Mitigation Analysis	Other information	Draft NC
<input type="checkbox"/> Not yet initiated Please indicate when to initiate: <input checked="" type="checkbox"/> Work in initial phase (< 25% completed) <input type="checkbox"/> Work in progress (25~50% completed) <input type="checkbox"/> Work at advanced phase (> 50% completed) <input type="checkbox"/> Completed	<input type="checkbox"/> Not yet initiated Please indicate when to initiate: <input checked="" type="checkbox"/> Work in initial phase (< 25% completed) <input type="checkbox"/> Work in progress (25~50% completed) <input type="checkbox"/> Work at advanced phase (> 50% completed) <input type="checkbox"/> Completed	<input type="checkbox"/> Not yet initiated Please indicate when to initiate: <input checked="" type="checkbox"/> Work in initial phase (< 25% completed) <input type="checkbox"/> Work in progress (25~50% completed) <input type="checkbox"/> Work at advanced phase (> 50% completed) <input type="checkbox"/> Completed	<input type="checkbox"/> Not yet initiated Please indicate when to initiate: <input checked="" type="checkbox"/> Work in initial phase (< 25% completed) <input type="checkbox"/> Work in progress (25~50% completed) <input type="checkbox"/> Work at advanced phase (> 50% completed) <input type="checkbox"/> Completed	Please indicate when the draft NC is expected: Target: _November_(Month) of _2009__(Year)

IV: Challenges and difficulties encountered and envisaged, and assistance required from the NCSP

Please describe any technical and managerial challenges or constraints you encountered or envision for the implementation of the NC; as well as details of any assistance you would need from us to overcome these difficulties (please use additional sheets of page as needed).

You may describe your difficulties, if any, by categories, such as:

1. Administrative constraints

The current project budget will not sufficiently cover full costs of generating the required data and information as well as meeting the capability-building needs that will allow a sustainable process of developing national communications in the future. Adequate financial assistance is critical in coming up with a comprehensive and exhaustive report especially in the areas of improved and effective GHG emissions inventory, adaptation and vulnerability assessment, mitigation measures, research and systematic observation, and training, information and awareness. As a result, the present SNC project is constrained to produce the “best efforts” possible within the limited budget. In-kind contributions on the part of the recipient/lead government implementation partner is a well-known prerequisite for the approval of the financial assistance; however, the commitment of such resources puts an additional burden on the government and the project itself given the actuality of lack of resources.

2. Technical constraints

2.1 GHG inventory

While the operative assumption is that data repositories still exist, lack of primary data, including lack of local emission factors may still pose a problem for some sectors and for higher-tier methods; absence of reliable QA/QC mechanisms to assure data quality due to a lack of a critical mass of national inventory experts; failure of training due to human resource bottlenecks on the part of trainee agencies; delay in the formulation of a legal framework for institutionalizing the inventory process due to the political fluidity of Philippine institutions

2.2 Mitigation analysis

Availability of data (that should be based on empirical realities) which ideally should span several years in order to create credible baseline scenarios; lack of political will to see through the realization of prioritized mitigation measures to be proposed for the long term

2.3 Vulnerability and adaptation assessment

How to produce the climate change scenarios at the local level in specific time slices of 2020 and 2050 remains a main challenge; similar to the GHG inventory component, there is a lack of a critical mass of V and A experts; mapping at ideal scales

2.4 Others

No comprehensive TNAs have been carried out; lack of national capacity in technology needs assessments, prioritization, planning, implementation, monitoring and evaluation for both adaptation and mitigation technologies; availability of documentation on the country's experience in technology transfer

Synchronizing actual and planned programs and activities of relevant agencies and institutions for strengthening the gathering, exchange and use of data including specific steps to eliminate internal barriers to such exchange, with the purpose of satisfying local, regional and international needs in addressing climate change

3. Other constraints

While workshops being organized by the NCSP are recognized as vital to the improvement of the expected project outputs, the expenditures being required by such workshops have not been pre-programmed into the total budget. Such unexpected financial outlays put a profound burden on project implementation, in addition to the difficulties that arise as a result of foreign exchange fluctuations. Lack of personnel on the part of the UNDP Country Office also has an unfavorable impact on the implementation of the Project as the provision of guidance and technical support required by the PMO and the Government as the Implementing Partner becomes extremely limited, particularly in light of newly issued guidelines and monitoring requirements for GEF-supported projects.

Rating of Project Progress

Please rate the overall project progress as per the categories provided below (HS, S, MS, MU, U, and HU).

<i>Person rating the project progress</i>	<i>2008 Rating</i>	<i>Comments⁵</i>
National Project Manager/Coordinator	MS	There were substantial delays in the start-up phase; however, with the major components already being initiated, the project is looking forward to a stable execution of the work programme within the given limitations, barring any extraordinary circumstances.

Rating of Project Implementation: Based on the original project document, please rate the implementation progress of the project according to the following scale.

Highly Satisfactory (HS)	Implementation of all components is in substantial compliance with the original/formally revised implementation plan for the project. The project can be presented as “good practice”.
Satisfactory (S)	Implementation of most components is in substantial compliance with the original/formally revised plan except for only a few which are subject to remedial action.
Marginally Satisfactory (MS)	Implementation of some components is in substantial compliance with the original/formally revised plan with some components requiring remedial action.
Marginally Unsatisfactory (MU)	Implementation of some components is not in substantial compliance with the original/formally revised plan with most components requiring remedial action.
Unsatisfactory (U)	Implementation of most components is not in substantial compliance with the original/formally revised plan.
Highly Unsatisfactory (HU)	Implementation of none of the components is in substantial compliance with the original/formally revised plan.

⁵ Comment on the rating for 2008 and also on any observable trends from 2006 – 2008.